





PCT

INVESTOR IN PEOPLE

0 8 NOV 1999 REC'D

WIPO

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely bjects the company to certain additional company law rules.

Signed

Dated 27 October 1999

**PRIORITY** 

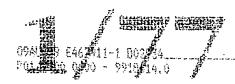
COMPLIANCE WITH RULE 17.1(a) OR (b)

THIS PAGE TO ( TO)

## Patents Form 1/77

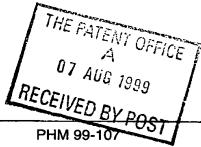
Patents Act 1
(Rule 16)





# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road Newport Gwent NP9 1RH

1. Your reference

2. Patent application number (The Patent Office will fill in this part)

3. Full name, address and postcode of the or of

Patents ADP number (if you know ii)

each applicant (underline all surnames)

If the applicant is a corporate body, give the country/state of its incorporation

9918614.0

Zeneca Limited 15 Stanhope Gate LONDON W1Y 6LN/ Great Britain

6254007002

i. Title of the invention

DEVICE

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

DENERLEY, Paul Millington

Global Intellectual Property AstraZeneca PLC Mereside, Alderley Park Macclesfield, Cheshire, SK10 4TG Great Britain

Patents ADP number (if you know it)

Country

1030618002

Priority application number (if you know it)

Date of filing
(day / month / year)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Number of earlier application

Date of filing (day / month / year)

- If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application
- Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer Yes' if:
  - a) any applicant named in part 3 is not an inventor, or
  - there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body. See note (d))

Patents Form 1/77 9. Enter th umber of sheets for any of the following items you are filing with this form. Do not count copies of the same document Continuation sheets of this form Description Claim(s) Abstract Drawing(s) 10. If you are also filing any of the following, state how many against each item. Priority documents Translations of priority documents Statement of inventorship and right to grant of a patent (Patents Form 7/77) Request for preliminary examination and search (Patents Form 9/77) Request for substantive examination (Patents Form 10/77) Any other documents (please specify) I/We request the grant of a patent on the basis of this application. 11. Date 6th Aug 1999 Signature Zeneca Limited Authorised Signatory 12. Name and daytime telephone number of Lynda M Slack 01625 516173 person to contact in the United Kingdom Warning After an application for a patent bas been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be probibited or restricted under Section 22 of the Patents Act 1977. You

After an application for a patent bas been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

#### Notes

- a) If you need belp to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

## **DEVICE**

The invention relates to the injection of cells and specifically to automated devices for injection of large numbers of cells. The invention also includes use of such a device in 5 fields where low throughput of cell injection from current techniques has meant that such uses have not been viable.

Injection of cells is currently only a viable technique in a limited number of fields, for example *in vitro* fertilisation, and currently is carried out manually and individually on each cell. It requires a high level of skill and an experienced operator can only inject in the order of one cell per minute. There are many other fields that would benefit from cell injection of macromolecules, genes, chromosomes, organelles, or any other substance desired to be injected into a cell were it possible to achieve this on a large numbers of cells. Gene therapy, biotechnology, life sciences research, diagnostics, pharmaceutical and agrochemical research are among many fields that would benefit from a high throughput cell injection method.

Currently using manual techniques the cells are suspended in solution and each cell is individually injected by fixing a cell into position by the operator "sucking" the cell onto the end of a narrow pipette. Whilst watching the operation through a microscope the operator then inserts a needle into the cell. Once the injection is made the needle is retracted manually and the cell released, then the next cell is fixed and so on. In addition variations of this basic manual technique are available such as for injecting cells which are attached to a dish as a monolayer. The cost of injecting a small number of cells is expensive and means that microinjection of cells is not a technique used widely in the pharmaceutical or agrochemical research.

We have devised of a device in which a large numbers of cells (hundreds, thousands or millions) may be micro-injected with minimal operator involvement by use of a microfabricated device which impels cells onto an injection needle.

Microfabrication techniques are generally known in the art using tools developed by the semiconductor industry to miniaturise electronics, it is possible to fabricate intricate fluid systems with channel sizes as small as a micron. These devices can be mass-produced inexpensively and are expected to soon be in widespread use, for example, in simple analytical tests. See, e.g., Ramsey, J.M. et al. (1995), "Microfabricated chemical

25

measurement Systems," Nature Medicine 1:1093-1096; and Harrison, D.J. et al (1993), "Micromachining a miniaturized capillary electrophoresis-based chemical analysis system on a chip," Science 261:895-897.

Miniaturisation of laboratory techniques is not a simple matter of reducing their size. 5 At small scales different effects become important, rendering some processes inefficient and others useless. It is difficult to replicate smaller versions of some devices because of material or process limitations. For these reasons it is necessary to develop new methods for performing common laboratory tasks on the microscale.

Devices made by micromachining planar substrates have been made and used for 10 chemical separation, analysis, and sensing. See, e.g., Manz, A. et al. (1994), "Electroosmotic pumping and electrophoretic separations for miniaturized chemical analysis system," J. Micromech. Microeng. 4:257-265.

We have found that by careful arrangement of channels formed within a microfabricated device (microfluidic channels) a conduit is made within which the flow of 15 cells in a suspension may be controlled to an extent that cells may be individually injected by impelling them onto an injection needle.

We disclose as the first feature of the invention a microfabricated cell injector comprising an internal surface defining a conduit for transporting cells suspended in a fluid, and having an inlet and an outlet, the conduit further comprising a cell injection needle for 20 injecting material into cells as an internal projection, such that in use cells enter the injector via the inlet and are moved along the conduit, pierced by the cell injection needle whereupon material is: (1) injected into the cell, (2) extracted from the cell, or (3) injected into the cell and then extracted from the cell the steps being in any order and any number of times, and then moved to the outlet.

A further feature of the invention is a method for the microinjection of cells which method comprises passing a suspension of cells in a fluid through a conduit comprising a cell injection needle as an internal projection, the cells thereby being pierced by the injection needle and material is: (1) injected into the cell (2) extracted from the cell or (3) injected into the cell and then extracted from the cell the steps being in any order and any number of times; 30 as the cells pass through the conduit.

It should be understood that the arrangement, type and dimensions of the device and the components therein will vary according to the use or application, as will become apparent. It is generally preferred that the microfabricated conduit only allows a single cell to be impelled upon a single injection needle at any one time.

In this disclosure, the term "microfabricated" includes devices capable of being fabricated on silicon wafers readily available to those practising the art of silicon microfabrication and having the feature sizes and geometries producible by such methods as LIGA, thermoplastic micropattern transfer, resin based microcasting, micromolding in capillaries (MIMIC), wet isotropic and anisotropic etching, laser assisted chemical etching (LACE), and reactive ion etching (RIE), or other techniques known within the art of microfabrication. In the case of silicon microfabrication, larger wafers will accommodate a plurality of the devices of this invention in a plurality of configurations. A few standard wafer sizes are 3" (7.5cm), 4"(10cm), 6"(15cm), and 8"(20cm). Application of the principles presented herein using new and emerging microfabrication methods is within the scope and intent of the invention.

The injection needle has a diameter of dimensions comparable with the dimension of the cells to be injected, for example between 1% and 50% of the cell diameter, preferably between 5% and 30%. Typical cell diameters are from 10 microns to 50 microns, but will vary according to the cell origin and type. The walls of the injection needle are around 1 20 micron thick and may be as narrow as 0.1 micron thick. The injection needle is connected to a microfluidic channel which is able to deliver to the injection needle the sample for injection. Preferably the injection needle is fixed in the device relative to the walls of the micofluidic channels such that it projects into the conduit and injection is achieved by moving the cells on and off the injection needle, rather than by moving the injection needle into and out of the 25 cell. Preferably the injection needle is positioned on a surface of the microfluidic channel which we term the "injection wall", see for example Figure 1. The length and shape of the injection needle that is exposed above the injection wall will determine the "injection depth", that is the depth to which the injection needle will penetrate the cell. This depth will depend on the cell type and the application. In particular, it will depend on the cellular compartment 30 that it is desired to inject into. For example, for injection into the cytoplasm, the injection depth could be in the order of 1 micron, for example 1 to 5 microns; whereas, for an injection

into the nucleus, the injection depth will need to be greater, for example 3-10 microns. Given a knowledge of the cell type, it will be possible for the skilled bioscientist to select a device with the appropriate injection depth. The injection needle may be a fabricated separately from the microfabricated conduit and inserted during manufacture into the device. Alternatively the injection needle is fabricated during the manufacture of the conduit and the injection needle formed as a simple projection from the surface of the injection wall, see for example Figure 8.

As an alternative to a cell piercing needle as described above a non-cell piercing needle or area may be used in which the cell is held against and from which a membrane-opening chemical is applied.

The design of the needle is conveniently conventional that is comprising a single hollow tube, preferably sharpened at the point and optionally widening towards the base. However, other structures are included. We include structures where the penetration of the cell and the injection of fluid are achieved by different parts of the structure. For example, the point of the needle may be solid and injection of fluid carried out by means of side channels. Alternatively, the needle may be a simple point made out of a porous material, the injected material entering the cell by means of the pores. Alternatively, the needle may comprise a solid point along one side of which there is a groove along which material for injection is allow to flow into the cell.

The choice of needle design will depend on factors such as the size of the cell, the type of cell, the desired efficiency of injection (measured as the percentage of cells injected or the percentage of injected material that is injected).

The injection needle is conveniently an essentially rigid structure, that is it does not move significantly with respect to the rest of the device. However, depending on the microfabrication approach employed, it may be convenient for the needle to be flexible, i.e. movement is allowed in the direction of the needle axis. Optionally this flexibility may be exploited to augment the needle penetration pressure, either due to the elasticity of the structure, or differences in hydrostatic pressure, or both.

The injection wall surrounding the immediate area of the injection needle may be permeable to the medium in which the cells are contained, but impermeable to the passing of cells. In certain orientations of the device permeable walls are preferred and allow the passing of the cell medium through the injection wall, facilitating the movement of the cell onto the

injection needle. The permeability of the wall may be achieved by one or a small number of orifices positioned around the needle, preferably in a symmetrical fashion. It will be clear that a large number of designs could in principle achieve the aim of forcing the cell onto the needle and these are incorporated into the invention. The injection wall may optionally itself be charged to impel the cell onto the injection needle, or reverse charged to then expel the cell from the injection needle, or alternate between each charge to impel the cell onto the needle and then to expel it.. The injection wall may be flat or any other shape to accommodate the cell whilst it is on the injection needle.

It is convenient for the channel that provides the driving force impelling the cells onto the needle to be defined in part by the plane in which the needle structure is fabricated.

Optionally the cells are accelerated onto the needle by means of a restriction which increases the linear velocity of the cell. Alternatively the walls of the channel could be fashioned to compress the cell to assist with the injection.

Cells may be suspended in any fluid (liquid or gas) which is able to readily flow
through microfluidic channels and not adversely harm the cells suspended within the fluid.
Suitable fluids are liquids, such as buffered aqueous solutions optionally containing cell nutrients.

The method / device of the invention includes active propulsion of the cell onto the needle, in addition to or instead of the forces provided by movement of the cell suspension.

20 By active propulsion we include provision of a pressure behind the cell (opposite side to the needle) by means of a deformable section of the channel wall, such deformation being achieve by externally applied pressure such as gas pressure, liquid pressure or mechanical pressure.

We also include provision of a negative pressure ahead of the cell (same side as the needle) by the same or similar means. We also include an additional, optionally externally applied,

25 positive or negative pressure pulse in the carrier liquid in which the cells are suspended. We also include other external forces such as magnetic or electrostatic fields acting on an appropriately derivatised cell suspension.

By high throughput we mean that the invention can achieve a throughput substantially higher than conventional means and that numbers of cells in excess of 100, for example 1,000 or 1 million, can be achieved in a convenient time period in the order of minutes or hours. In order to achieve the higher throughputs, the method optionally involves parallel processes, i.e.

25

multiple devices are used in parallel and cells are flowed along a plurality of microfluidic channels such that they are impelled onto a plurality of injection needles, for example, one injection needle per channel. Figure 6 shows such a device with 8 channels in parallel. A requirement of such devices, which we term "multi-channel" is that the inlets of the multiple injector units are connected to suitable channels to divide up the flow of cells from a cell sample reservoir, then, preferably, recombined after injection, and the material for injection is also divided up by suitable channels to provide material to each injection area. Accordingly we disclose as the second feature of the invention a microfabricated device containing a plurality of cell injector units, each cell injector unit comprising a conduit or an internal surface defining a conduit for transporting cells suspended in a fluid, and having an inlet and an outlet, the conduit further comprising a cell injection needle as an internal projection, such that in use cells enter the injector via the inlet and are moved along the conduit, pierced by the injection needle whereupon material is injected into the cell, and then moved to the outlet, the respective inlets and outlets of the cell injecting units being each connected such that the cells are divided into each injector unit and, preferably, recombined after injection.

The injection material is any material that it is desired to inject into the cell. Most advantageously, this is material that cannot readily be taken up by the cell of interest by any other convenient means. In particular, the material for injection is a macromolecule in aqueous solution, for example a peptide, protein, nucleic acid or polysaccaharide, and 20 analogues and conjugates thereof. Also the injection material may comprise particles, for example viruses, chromosomes, synthetic particles optionally containing or coated with a macromolecule of interest, spores, plasmids, cell organelles, vesicles, liposomes, micelles and emulsions. Optionally a label, for example a fluorescent label, may be added to the injection fluid to act as a marker to indicate that the injection is successful.

In an alternative feature of the invention material is not injected from the cell but is extracted, such as parts of the cytoplasm or organelles (e.g. the nucleus or mitochondria). This alternative feature of the invention may allow for several new uses which previously have not been viable, except on a very small scale. These uses include the following:

30 1. <u>In Situ Proteomics</u> - sample of cytoplasm of the cell may be extracted and analysed, for instance by 2D gel electrophoresis, to determine the expression patterns of proteins before

and after exposure of the cell(s) to a compound or environmental factor. Such a compound or environmental factor (such as a cytokine) may, optionally, be delivered into the cell directly by the needle prior to extraction.

- 5 2. Measuring Cell Permeation An important factor in pharmaceutical development is to determine the availability of the compound to its biological target within the cell. By extracting samples directly from the cells direct measurements of the presence of the compound may be made. It is possible to determine the distribution of the compound within the organelles or cellular compartments of the cell. Alternatively the entire contents of a cell may be vacated and replaced by buffer solution. These empty cell sacs may be used to measure whether compounds diffuse across cellular membranes, or are actively transported, and the rate of diffusion/active transport.
- 3. <u>In Situ PCR</u> Cell microinjection allows access to the cell interior for in-cell PCR.

Cells may be moved along the microfluidic channels and impelled onto the injection needle by any convenient means. Two broad categories are envisaged. Firstly is passive flow, where the carrier fluid containing the cells of interest is moved and the cells flow with it. The carrier fluid may be propelled by means of a mechanical pump, by applying a vacuum or pressure to one end of the channel, by gravity flow or by electro-osmosis. A preferred method is electro-osmosis, which may conveniently be achieved by the microfabrication of electrodes at the ends of the channels, the voltages between electrodes being controlled conveniently externally to achieve the desired fluid movements. Alternatively active flow may be employed where the cell is moved actively, i.e. independently of the carrier fluid by means of an external field, for example an electrostatic field. Means of manipulating cells by various types of electric field are described in the literature. Combinations of methods are also possible, for example, the cells may be delivered to the injection area of the device by passive flow and then impelled by an active force onto the injection needle.

The above cell movement methods are also used, optionally in combination, to remove the cell from the injection needle and to flow the cells away and out of the device.

5

30

Where the cells are manipulated onto or off the injection needle by passive flow, the injection wall may be alternatively constructed with holes or small channels therein, or is of a porous material, so that fluid can flow relatively unhindered through the wall. Figure 2 illustrates this arrangement.

The arrangement of microfluidic channels to effect the method of the invention will depend on many factors such as the desired throughput and means of propelling cells.

In the case of passive flow and use of a porous injection wall, a convenient configuration is shown in Figure 3. Here a stream of cells enters along channel A (at this point in the cycle there is no flow between arms B and C) and the leading cell becomes 10 impaled on the injection needle. A "capture sensor" senses that a cell is captured and the flow is halted and the material for injection is injected. The flow is immediately reversed in a short pulse which dislodges the cell. The strength and duration of this pulse is selected such that the cell is delivered to the centre of the cross. Flow from arm B to arm C (the reverse is possible) is initiated and the cell is removed along arm C. The cycle then starts again with 15 flow down channel A which capture the next cell, the said cycles being repeated until the desired number of cell have been injected.

Suitable capture sensors include a conductivity sensor that measures the conductivity or capacitance between the injection fluid and the carrier fluid. This will change when the needle penetrates the cell. Optionally, the change in impedance may be measured to detect a cell that is adhered to the needle but not actually penetrated by it. Alternatively, the capture sensor may take the form of a pressure transducer positioned near the point of capture such that capture causes a partial blockage of the flow in the pressure transducer and a change in pressure. Alternatively, the capture sensor may take the form of a pair of electrodes positioned either side of the cell when in the injection position, the electrodes being able to measure changes in conductivity or another convenient electrical parameter. Alternatively, optical methods may be used to image the cell or detect its presence at the injection position by changes in absorbance, refractive index, light scattering and the like.

In the case of a flexible needle, pressure sensors may be used to detect the presence of a cell.

Alternatively, cells may be introduced along arm B as is illustrated in Figure 4.

It will be appreciated that, depending on the cell density and the efficiency of injection required, it may be desirable to have a means of detecting the presence of a cell as it approaches or enters the injection area, i.e. a "cell sensor". This may be achieved by any convenient means for example by a pair of electrodes positioned either side of the cell when in the position for detection, the electrodes being able to measure changes in conductivity or another convenient electrical parameter. Alternatively, optical methods may be used to image the cell or detect its presence at the desired position by changes in absorbance, refractive index, light scattering and the like.

A further way of impelling the cells onto the injection needle is by deflecting the flow at a substantial angle, for example between 40 degrees and 90 degrees such that the cell impacts a defined area of the channel wall which contains the injection needle. Removal of the cell is achieved by a combination of the elasticity of the cell bouncing off the wall and the flow in the microfluidic channel, optionally enhanced by a tumbling action of the cell initiated by geometric features fabricated in the channel wall. A non-limiting illustration of this arrangement is shown in Figure 5.

The walls of the channel are designed such that each cell is presented to the injection needle with the correct force such that the injection needle penetrates the cell wall, and that the cell then bounces off the wall and continues in the same direction down the channel, without tearing or otherwise irreparably damaging the cell wall. To achieve this, the channel walls have several features to achieve this. We here define the various surfaces and features (see Figure 5).

a "deflector wall", which causes the liquid flow and the cells to deviate
a "constrictor" which squeezes the cell slightly and increases the speed of the cell. The
constrictor also encourages the cell to proceed down the channel with a tumbling action.

When the cell is positioned on the needle, the injection of the injection fluid takes place. The amount of fluid injected will depend on the cell type, volume and purpose of the injection. It will normally be in the range of 1% to 50% of the cell volume, for example 5% to 20%, and will typically be in the order of one or a few picolitres. Movement of fluid in the injection channel may be achieved by any convenient means and may include for example a

micropump or piezoelectric displacement, such as described in Transaction on Biomedical Engineering (1975) 22, 5, 424-426. The movement may optionally be continuous where it is acceptable for injection fluid to leak into the carrier fluid and where this is not economically prohibitive.

Devices may be made by the use of microfabricated layers such as shown in Figure 8. Here the cells travel in suspension down a channel defined by the gap between layers 3 and 4, and by walls perpendicular to the layers, formed from layer 3 or 4 or both. As each cell enters the injection area, flow away from the injection area is initiated in the channel formed between layers 2 and 3. This impels the cell onto the injection needle. This flow may optionally be maintained while injection takes place, whereafter the flow is reversed thereby ejecting the cell from the injection needle into the main cell flow. The main cell flow may optionally be paused while the cell is drawn onto the injection needle, is injected and released. Alternatively, the sequence of flows may be as follows: 1) channel A to channel C until a cell is detected at the injection needle 2) injection 3) channel C to channel B until cell is ejected 4) repeat i.e. go to 1). The length of step 3) will depend on experience of what is required to eject the cell and the desired concentration of the cells coming from the device, it being understood that the device could concentrate or dilute the cells depending on the relative timing of the flow steps.

The relative size and shape of the features and other aspects of the injector device
will depend on numerous factors. This will include the type and size of cells being injected,
the desired efficiency of injection (i.e. the percentage of cells that are successfully injected),
the desired throughput, flow rate, clumping tendency of the cells and other factors.

The device may be fabricated in glass, silicon, plastic or any other suitable material or combination of materials using conventional microfabrication techniques. It will be appreciated that for each material the constraints imposed by the material and manufacturing technique used may require different geometries to those shown in the diagrams contained herein.

We have further found that microfabrication technology offers an approach to optimise the various parameters to suit the cells type and the application. At the design stage, one or more devices are fabricated that have injection areas of different geometries and different arrangements of design elements in a large number of combinations. It is then a

straightforward matter to inject cell populations down the different injection areas and determine empirically which arrangement gives satisfactory results according to whatever success criteria are considered important.

It will be clear to the microtechnologist that there are many possible arrangements of the conceptual and material elements of the present invention, and analogues or equivalents thereof, that may be expected to yield a device and method for achieving high throughput injection of cells.

The use of the method and device of the invention are numerous in the fields of, for example, life science research, medical research, drug and agrochemical discovery,

10 diagnostics.

The principle advantage is that the device provides a means of reliably injecting a wide variety of material. Thus it may be used as a generic and predictable transfection method which allows genetic material to be injected into cells with high efficiency. This is of particular advantage when there is a need to transfect cells that are difficult to transfect by conventional means. It is also of advantage when it is required to transfect cells with two or more genes.

The method of the invention may also be used for validating assays used for testing modulation of a biological target. In particular, the situation often arises that the only material that can validate an assay, that is provide a control, is material that cannot be readily provided to the cell interior. The method provides a way of reliably injecting a wide variety of samples.

The device and method of the invention may also be used for validating whether a biological target does or does not influence an important cellular process. In particular the device and method allow for inhibitory antibodies and dominant negative proteins to be incorporated inside the cell in order to ascertain the relevance of target proteins, interactions, enzyme activity and pathways to potential therapeutic intervention.

The device and method of the invention may also be used for the construction of intracellular assays. In particular, protein and other non-permeable agents or probes, in particular labelled agents or probes may be incorporated into a population of cells that may subsequently be employed for testing and evaluating compounds. In particular labelled antibodies may be injected. Probes may be based on numerous assays principles, for example fluorescence resonance energy transfer (FRET), fluorescence polarisation and fluorescence

10

correlation spectroscopy or may be specifically designed to modulate their signal in the presence of a target molecule or enzyme activity.

The device and method of the invention may be used to evaluate compounds of pharmaceutical or agrochemical interest, especially in cases where there are concerns about the ability of the compound to penetrate the cell. The device allows all such compounds to be reliably incorporated into the cell and reach the site of action.

The device and method of the invention may be used to ascertain the sensitivity of cells to certain compounds which are injected. This will be of value in determining which compound should be employed to treat a particular condition.

The method of the invention may also be used for ex vivo therapy, for example ex vivo gene therapy. Here a population of cells from a human subject may be removed, microinjected using the device and method of the invention and replaced into the subject.

It will be clear that for certain applications, having achieved injection on a microfabricated device, it may be advantageous to incorporate subsequent process or analysis steps on the same device.

Accordingly, we disclose an integrated cell process device which comprises the cell injection function combined with one or more process or analysis steps.

Process steps may include for example further injections, cell fusion, FACS
(fluorescence-activated cell sorting) or other cell sorting, or incubation with a further agent
20 and use in a biological assay. The biological assay may include for example an assay for the
biological activity of one or more test compounds. Such an assay may involve incubation of
the cell prior to injection or after injection. Optionally the compound(s) may be allowed to
contact the cell while it is on the injection needle by for example introducing the compound to
the liquid passing the cell: this would allow measurements to be made on the biochemical,
25 physical or electrical response (or any other response) as a result of the compound(s) or other
stimuli.

Analysis may include flow cytometry.

The process or analysis steps may either precede or follow the injection step, or both.

In the case of FACS, this may sort the cells into different channels where they are injected
with a different injection material. Subpopulations may optionally be pre-marked for FACS sorting.

A further application of the invention is that it may be used as a means of extracting material from inside cells. This may be achieved by simple reversing the flow in the injection channel. This ability may be exploited either to harvest an intracellular cell product, which may be for example a protein or genetic material, or it may be used to sample cell contents for 5 subsequent analysis. Further uses of this facility for extracting cells contents will be apparent to the scientist of average skill in various fields.

The device is also able to be used to measure the electrical properties of cells for example by means of electrodes placed in the injection channel and the channel containing the cells. For example, the membrane potential and membrane permeability may be measured on 10 populations of cells, optionally in response to external agents or test compounds.

## Manufacture

20

Microfabricated devices of the invention may be prepared by standard techniques 15 currently employed by microtechnologists. By way of example the following suggested route for manufacture of the device in Figure 8 is provided.

The injection needle has a height in the order of 3 micron above the upper surface of layer 2, the walls are approximately 1 micron thick and the internal diameter of the needle is 1 micron at its narrowest point.

Several methods for fabrication of the needle could be devised by a skilled technologist. For example, layer 2 could be fabricated from glass or silicon by 1) patterning to give cylindrical resist cores in the desired positions on the chip, 2) sputtering with glass or metal over the cores at an angle away from normal to cover the sidewalls, 3) planarising with resist and ion milling off the top to leave a core surrounded by an open cylinder of glass or 25 metal, 4) removing the resist to leave a cylinder and 5) anisotropically etching from the rear to connect with the hole in the centre of the cylinder. It will be understood that other features such as channel walls, spacers, electrodes etc. will also be incorporated during the fabrication of the needle feature.

Various techniques may be employed to sharpen the tip of the injection needle to aid 30 penetration. For example milling techniques may be employed. Alternatively, the 5-step method described above may be elaborated by the provision of a thin metal disc, of diameter

slightly greater than the resist cores, positioned centrally on top of the resist cores. The sputter coating to form the sides of the cylinder is then carried out from above at an angle such that the overlap casts a "shadow" on the sides of the resist, giving a sloping internal surface with the wall tapering to the top. The metal disc and resist are then removed and the 5 etch from the rear step carried out as before.

The invention is described in more detail below in the following non-limiting figures. Figure 1 - The depth of entry of the injection needle 2 into the cell 1 is defined by the distance the cell may travel before it is stopped at the cell injection wall 5. Material 3 may be injected into the cell by pulsed injection when the cell is in position, or by continuous flow where the time the cell is spent on the injection needle is regulated.

Figure 2 - Shows one alternative method for impelling the cell onto the injection needle and removal once injection has taken place. The cell is impelled onto the injection needle 1 and 2 by a passive force, i.e. the liquid and cell moves, or an active force, i.e. where the cell moves.

15 Movement of the cell onto the injection needle is eased by providing a permeable cell injection wall for the cell suspending liquid to pass through. The cell is removed from the injection needle by providing an opposite active or passive force 3.

Figure 3 - Is a diagram of a microfabricated cell injector with a conduit consisting of two
channels crossing in opposing directions. Cells are impelled down channel A to D stepwise by
an oscillating force which switches cell movement from A to D then D to A, the movement of
cells from A to D being larger then the return movement and impelling a cell onto the
injection needle 1. The smaller returning force D to A releases the cell from the injection
needle after injection and places it in line with channel B to C. The cell is moved along B to C
and then a force applied again to impel the next cell onto the injection needle in direction
A to D.

Figure 4 - Shows an alternative arrangement of the microfabricated cell injector of figure 3
where the cells are moved along channel B to C in a stop/start motion where a cell placed inline with channel A to D during the stop phase is impelled onto the injection needle by a force

A to D and removed by a force D to A and placed back in line with the channel B to C and removed by the next movement in channel B to C.

Figure 5 - Is a diagram of a microfabricated cell injector with a conduit consisting of a single 5 channel 1. Cells impelled through the channel are forced onto an injection needle 4 by a deflector 2. The momentum of the cell is sufficient for it to be impelled onto and then off the injection needle.

Figure 6 - Shows how a number of the devices may be placed in parallel to achieve an even higher throughput. Cells are fed into the microfabricated injectors 1 by a series of splitting channels, one for each injector, and then the resulting injected cells collected from all the injectors in a collecting pool 2. The material for injection is held in a storage area 4 and pumped through to the injection needle tips by a suitable pump 3.

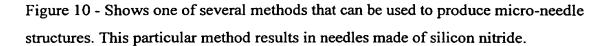
Figure 7 - Shows an alternative arrangement for the injecting wall 2 which is a narrowing channel which supports the cell 1 during injection.

Figure 8 - Is a diagram of a microfabricated cell injector with a conduit consisting of three parallel channels defined between 4 layers (Layers 1 to 4). Cells pass in the direction A to B in the channel defined by layer 1 and 2. An oscillating pressure is applied in the second channel 2 which impels a passing cell onto the injection needle 1 and in the opposite direction forces the cell off the injection needle and back into the flow of A to B. Alternatively the flow is from A to C until a cell is detected at the needle and then, after injection, the flow is from C to B for a period. The third and fourth layer define the internal surface of the injection needle and carries the material for injection into the cells. The injection needle has a height in the order of 3 micron above the upper surface of layer 2, the walls are approximately 1 micron thick and the internal diameter of the needle is 1 micron at its narrowest point.

Figure 9 - Is a photograph taken through an electron microscope of a cell injector needle, magnification x1500, The needle is 2 microns at the top and the walls are 0.1 micron thick.

The silicon slab is back-etched so that there is a hole right through the structure which is used

for injection of material into the cell.



- 1. Deposit a silicon nitride masking layer onto a silicon wafer
- 5 2. Pattern masking layer using photolithography and plasma etching to expose areas of
  - 3. silicon.
  - 4. Etch silicon using HF/HNO<sub>3</sub> isotropic etch to form points of appropriate dimensions
  - 5. Remove silicon nitride layer
  - 6. Deposit silicon nitride
- 10 7. Pattern masking layer on back of wafer
  - 8. Apply a thick photo-resist layer to form to the wafer to coat most of the points
  - 9. Remove the exposed region of silicon nitride
  - 9. Remove the photo-resist
  - 10. Etch the silicon using KOH anisotropic etch to form hollow needle structures

15

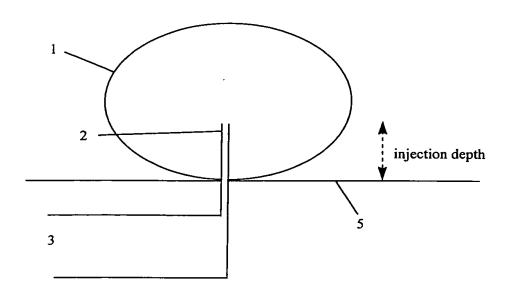


Fig. 1

THIS PAGE DLANK (USPTC.

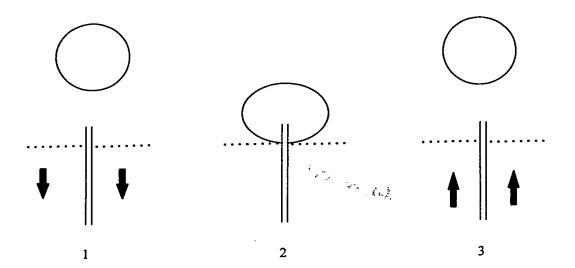
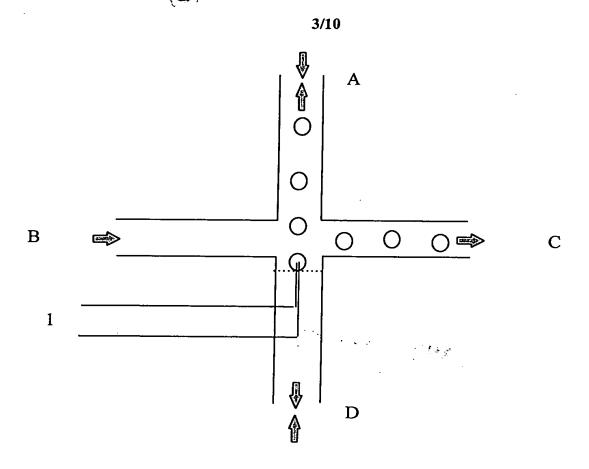


Fig. 2



to provide than

THIS MUSE DEMINA (USPTO)

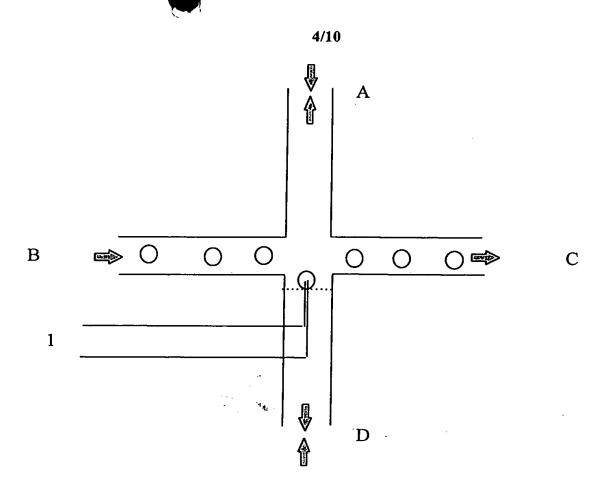


Fig. 4

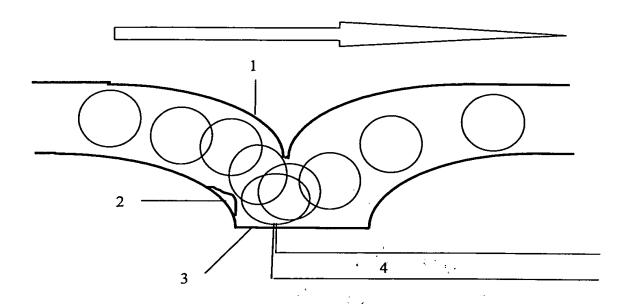
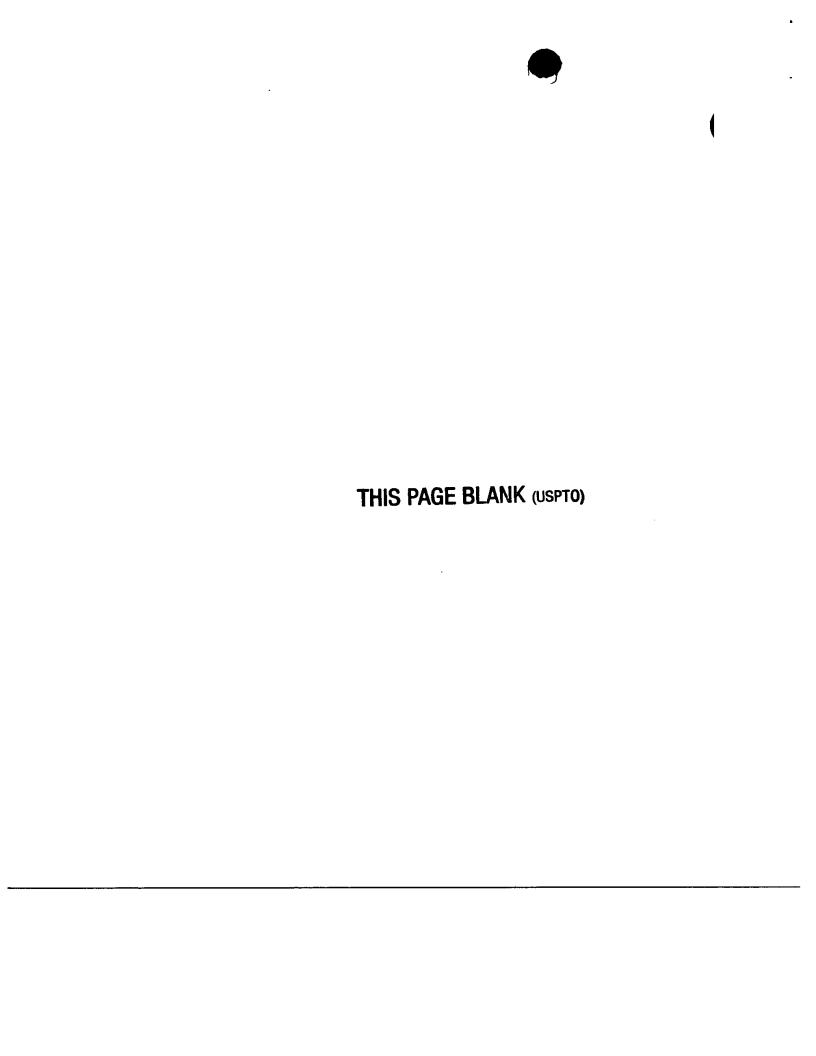


Fig. 5



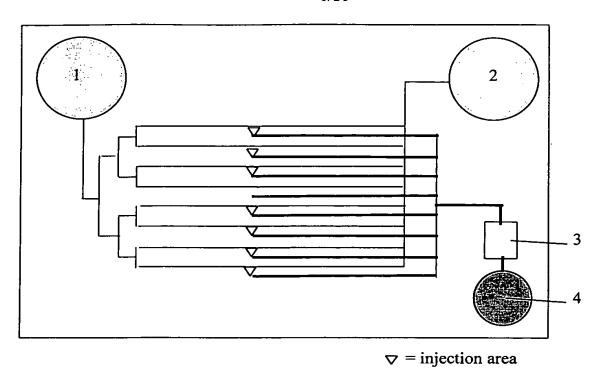


Fig. 6

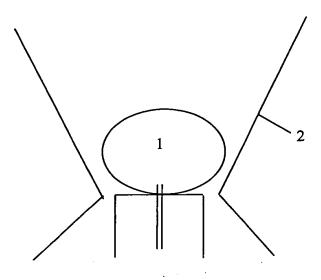


Fig. 7

. . .

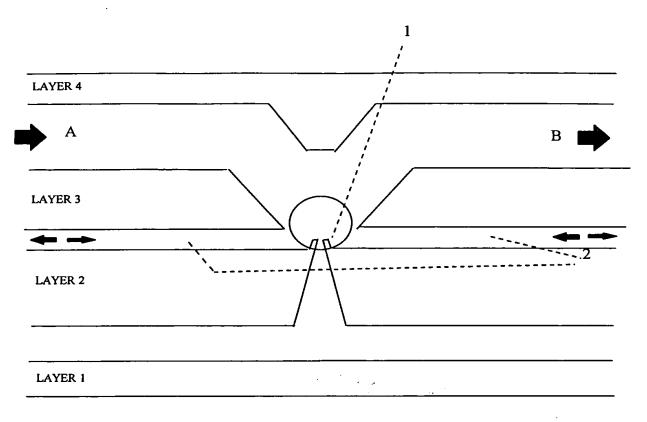


Fig. 8



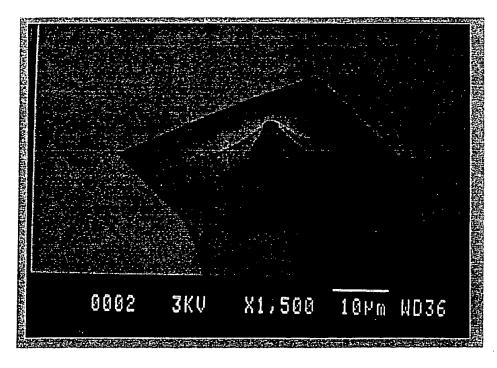


Fig.9

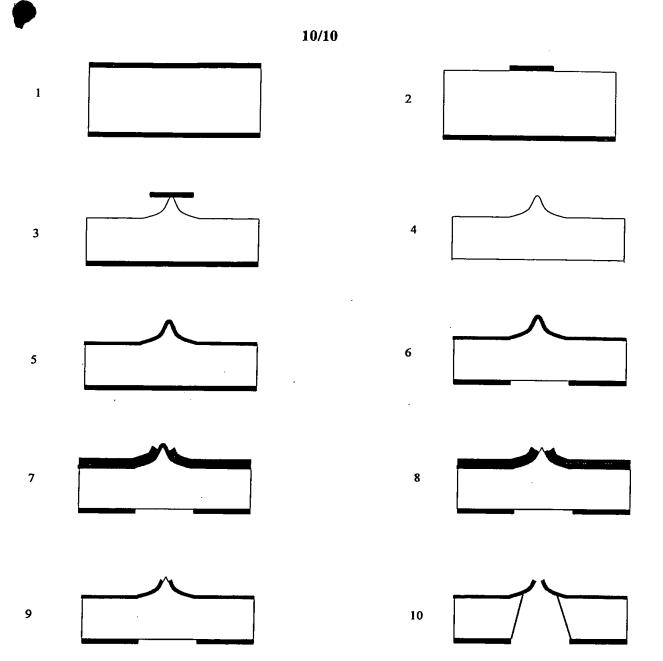


Fig.10

PCT 4B Q9 0 3330

dotra & eneral PIC